

# ON A RESULT OF IWASAWA ON CLASS NUMBERS OF NUMBER FIELDS

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ABSTRACT. For a finite Galois  $p$ -extension of number fields  $K/E$  *unramified* outside a finite place  $\mathfrak{p}$  of  $E$  and *totally ramified* at  $\mathfrak{p}$ , we generalize a result of Iwasawa concerning mod  $p$  congruences satisfied by the class numbers of  $K$  and  $E$ .

## INTRODUCTION

Let  $E$  be a number field and  $\mathfrak{p}$  a finite place of  $E$ . Consider a finite Galois extension  $K/E$  *unramified* outside  $\mathfrak{p}$  and *totally ramified* at  $\mathfrak{p}$ . In this setting, it is well known that the ideal norm map gives rise to a surjective group homomorphism  $N_{K/E}: \text{Cl}_K \rightarrow \text{Cl}_E$ , where  $\text{Cl}_F$  denotes the ideal class group of a number field  $F$ . In particular, for any prime number  $p$ , we see that  $p$  divides  $h_K$  if  $p$  divides  $h_E$ , where  $h_F$  denotes the class number of a number field  $F$ . In his generalization of a theorem of Weber, Iwasawa (cf. [1], Theorem II) proved the following converse statement

**Theorem 1** (Iwasawa). *If  $p$  is a prime number and  $G(K/E)$  is cyclic of  $p$ -power order then*

$$p|h_K \Rightarrow p|h_E$$

The purpose of this paper is to show that this result depends *only* on the fact that the Galois group  $G(K/E)$  is a  $p$ -group and not on his structure. Indeed, we prove that ( cf. Theorem 7, §2) if  $K/E$  is *any* Galois  $p$ -extension *unramified* outside a finite place  $\mathfrak{p}$  of  $E$  and *totally ramified* at  $\mathfrak{p}$  then  $h_K \equiv h_E \pmod{p}$  (the statement we give is actually slightly stronger). Under the additional assumption that  $G(K/E)$  is cyclic we can say more (cf. Theorem 8, §2): if  $K_i$  denotes the degree  $p^i$  extension of  $E$  inside  $K$  then  $h_{K_{i+1}} \equiv h_{K_i} \pmod{p^i}$ .

The theorems we prove rest upon the characterization of  $\text{Cl}_E$  as the largest quotient of  $\text{Cl}_K$  on which  $G(K/E)$  acts trivially, the projection map being

given by  $N_{K/E}$ . This fact is completely independent on the assumption that  $G(K/E)$  is a  $p$ -group and it is proved at the end of the first section (cf. cor. 4) as a consequence of two auxiliary lemmas. In the second section we deduce Theorem 7 using the simple fact that if a  $p$ -group acts on a finite set  $X$  then the cardinalities of  $X^G$  and  $X$  are congruent mod  $p$ . An additional argument allows us to prove the refined statement of Theorem 8 in the cyclic case of  $p$ -power order. In the third and last section we give applications of Theorem 8 of section 2 and of corollary 4 of section 1. Using the first we recover and generalize the fact that an odd prime  $p$  is regular if and only if  $p$  does not divide the class number of  $\mathbf{Q}(\mu_{p^{m+1}})$ , for any integer  $m \geq 1$  (cf. prop. 9). We then point out two consequences of corollary 4: the first one states that for any finite Galois extension of number fields  $K/E$  *unramified* outside a finite place  $\mathfrak{p}$  of  $E$  and *totally ramified* at  $\mathfrak{p}$ , if  $h_K$  is even and  $h_E$  is odd then the 2-part of  $\text{Cl}_K$  cannot be cyclic. The second application says that if  $K/E$  is a cyclic extension then the cardinalities of  $\text{Cl}_E$  and of the invariant submodule  $\text{Cl}_K^{G(K/E)}$  coincide.

#### NOTATION AND TERMINOLOGY

If  $F$  is a number field and  $l$  is a prime number we adopt the following standard notation:

$h_F$  = class number of  $F$ ;

$h_F^{(l)}$  =  $l$ -part of  $h_F$ ;

$H_F$  = Hilbert Class Field of  $F$ ;

$H_F^{(l)}$  = maximal unramified abelian  $l$ -extension of  $F$ ;

$\text{Cl}_F$  = ideal class group of  $F$ ;

$\text{Cl}_F^{(l)}$  = maximal  $l$ -quotient of  $\text{Cl}_F$ .

If  $F/E$  is an extension of number fields and  $S$  is a finite set of places of  $F$ , when we say that  $F/E$  is *unramified outside*  $S$  we always mean that every place of  $E$ , finite or infinite, that it is not contained in  $S$  is unramified in  $F$ .

If  $F/E$  is a Galois extension, its Galois group is denoted by  $G(F/E)$ .

If  $G$  is a group, a  $G$ -module  $A$  will always refer to an *abelian* group  $A$  together with a left action of  $G$  by *automorphisms*. Namely, a group homomorphism  $G \rightarrow \text{Aut}(A)$ . Moreover

$A^G$  = submodule of *invariants* of  $A$ ;

$A_G =$  quotient module of *covariants* of  $A$ ;  
 $\widehat{A} =$  Pontrjagin dual of  $A$ .

## 1. TWO LEMMAS

We present two elementary lemmas which lead to corollary  $\bar{r}efcor$  at the end of the section, important for us in the sequel. The lemmas involve a certain tower of number fields  $E \subset K \subset L$ , with  $L/E$  and  $K/E$  both Galois, and a finite prime  $\mathfrak{p}$  of  $E$  satisfying the following conditions:

- i)  $K/E$  is *totally ramified* at  $\mathfrak{p}$ ;
- ii)  $L/K$  is *unramified* at the prime  $\mathfrak{b}$  of  $K$  above  $\mathfrak{p}$ .

Throughout the section  $E \subset K \subset L$  will always denote such a triple of number fields and  $\mathfrak{p}$  and  $\mathfrak{b}$  will be the primes of  $E$  and  $K$  involved. The lemmas are stated in a more general setting then needed in this paper. The situation to keep in mind is when the extension  $K/E$  is *unramified* outside  $\mathfrak{p}$  and when  $L$  is the Hilbert Class Field of  $K$ .

**Lemma 2.** *The exact sequence*

$$1 \longrightarrow G(L/K) \longrightarrow G(L/E) \longrightarrow G(K/E) \longrightarrow 1$$

*splits. If  $\mathfrak{q}$  is any prime of  $L$  above  $P$  then the corresponding inertia subgroup  $I_{\mathfrak{q}}$  is a complement of  $G(L/K)$  in  $G(L/E)$ , so that  $I_{\mathfrak{q}} \simeq G(K/E)$  and*

$$G(L/E) \simeq G(L/K) \rtimes_{\varphi} G(K/E)$$

*Proof.* Let  $\mathfrak{q}$  be a prime of  $L$  above  $\mathfrak{p}$ . The extension  $K/E$  is totally ramified at  $\mathfrak{p}$ , hence every  $s \in G(K/E)$  can be lifted to  $\bar{s} \in I_{\mathfrak{q}} < G(L/E)$ . This implies that the natural projection map  $G(L/E) \longrightarrow G(K/E)$  remains surjective when restricted to  $I_{\mathfrak{q}}$ . Now since the extension  $L/K$  is unramified at the place  $\mathfrak{q}$  above  $\mathfrak{b}$ , we see that  $I_{\mathfrak{q}} \cap G(L/K)$  is trivial and  $I_{\mathfrak{q}} \simeq G(K/E)$  through the restriction of the previous map. This shows that the sequence above splits and

$$G(L/E) \simeq G(L/K) \rtimes_{\varphi} G(K/E)$$

where the semidirect product structure  $\varphi$  is given by the conjugation action of  $I_{\mathfrak{q}} \simeq G(K/E)$  on  $G(L/K)$ . □

*Remark 1.* In the isomorphism  $G(L/E) \simeq G(L/K) \rtimes_{\varphi} G(K/E)$  we just described, the action of  $G(K/E)$  on  $G(L/K)$  depends upon the choice of

the prime  $\mathfrak{q}$  of  $L$  above  $\mathfrak{p}$ : different choices of primes give different liftings of  $G(K/E)$  to inertia subgroups of  $G(L/E)$  of primes above  $\mathfrak{p}$ .

*Remark 2.* If we set  $L = H_K$ , the Hilbert Class Field of  $K$ , we have

$$G(H_K/E) \simeq \text{Cl}_K \rtimes_{\varphi} G(K/E)$$

In this situation  $G(L/K) = \text{Cl}_K$  is abelian and the action  $\varphi$  is *independent* on the inertia group  $I_{\mathfrak{q}}$  chosen to lift  $G(K/E)$  to a subgroup of  $G(L/E)$ . From the basic properties of the Artin symbol it follows that  $\varphi$  is described by the natural action of  $G(K/E)$  on  $\text{Cl}_K$ .

In the sequel when referring to the action  $\varphi$  of  $G(K/E)$  on  $G(L/K)$  we always silently assume that a prime  $\mathfrak{q}$  of  $L$  above  $\mathfrak{p}$  has been chosen together with the corresponding subgroup  $I_{\mathfrak{q}}$  lifting  $G(K/E)$ . If  $x \in G(L/K)$  and  $\sigma \in G(K/E)$ , we denote by  $\tilde{\sigma} \in I_{\mathfrak{q}}$  the lifting of  $\sigma$  and by  $x^{\sigma}$  the image of  $x$  under the automorphism of  $G(L/K)$  defined by  $\sigma$ , i.e.  $x^{\sigma} = \tilde{\sigma}x\tilde{\sigma}^{-1}$ . Notice that  $x^{\sigma\tau} = (x^{\tau})^{\sigma}$ .

Define  $\mathbf{D}G(L/K)$  to be the subgroup of  $G(L/K)$  generated by elements of the form

$$x^{\sigma} \cdot x^{-1} = [\tilde{\sigma}, x]$$

for  $\sigma \in G(K/E)$  and  $x \in G(L/K)$ .

Then  $\mathbf{D}G(L/K)$  is  $G(K/E)$ -invariant and the formula

$$y[\tilde{\sigma}, x]y^{-1} = [\tilde{\sigma}, [\tilde{\sigma}^{-1}, y] \cdot x] \cdot [\tilde{\sigma}^{-1}, y]$$

shows that  $\mathbf{D}G(L/K)$  is normal in  $G(L/E)$ .

Denote the quotient  $G(L/K)/\mathbf{D}G(L/K)$  by  $G(L/K)_{G(K/E)}$ . It is the *largest quotient* of  $G(L/K)$  on which  $G(K/E)$  acts trivially (it is the degree zero homology group of  $G(K/E)$  with coefficients in  $G(L/K)$ ).

*Remark 3.* If  $G(K/E)$  and  $G(L/K)$  are both abelian group then  $\mathbf{D}G(L/K)$  is the first derived subgroup of  $G(L/E)$ . This follows from the definition of  $\mathbf{D}G(L/K)$ , which is generated by the *commutators*  $[\tilde{\sigma}, x]$ , where  $\sigma \in G(K/E)$  and  $x \in G(L/K)$ .

We now identify the group  $G(L/K)_{G(K/E)}$  as the Galois group over  $E$  of a certain subextension  $F$  of  $L$ .

**Lemma 3.** *Let  $F$  be the maximal intermediate field  $E \subset F \subset L$  which is unramified at every place above  $\mathfrak{p}$ .*

*Then the natural map  $G(L/K) \twoheadrightarrow G(F/E)$  is surjective and induces a group isomorphism*

$$G(L/K)/\mathbf{D}G(L/K) \simeq G(F/E)$$

*Proof.* Choose a prime  $\mathfrak{q}$  of  $L$  above the prime  $\mathfrak{b}$  of  $K$  and denote by  $I_{\mathfrak{q}}$  the cooresponding inertia subgroup. Then, by lemma 2,  $G(L/E) \simeq G(L/K) \rtimes_{\varphi} I_{\mathfrak{q}}$ . The natural map

$$R: G(L/E) \simeq G(L/K) \rtimes_{\varphi} I_{\mathfrak{q}} \longrightarrow G(F/E)$$

is certainly surjective. Its kernel is, by the definition itself of  $F$ , the *normal closure* of  $I_{\mathfrak{q}}$  in  $G(L/E)$ . This implies that its restriction to  $G(L/K)$

$$r: G(L/K) \longrightarrow G(F/E)$$

is also surjective. The kernel of  $r$  is  $G(L/KF)$  and contains  $\mathbf{D}G(L/K)$ , we can see this observing that for  $\sigma \in G(K/E)$  and  $x \in G(L/K)$  we have

$$r([\tilde{\sigma}, x]) = r(\tilde{\sigma}x\tilde{\sigma}^{-1}x^{-1}) = r(xx^{-1}) = 1$$

where the second equality follows from the fact that  $r$  is the restriction to  $G(L/K)$  of  $R$ , which is defined on the whole group  $G(L/E)$  and is *trivial* on  $I_{\mathfrak{q}}$ .

Denote by  $\bar{r}$  the induced group homomorphism

$$\bar{r}: G(L/K)/\mathbf{D}G(L/K) \longrightarrow G(F/E)$$

we show that  $\bar{r}$  is an isomorphism.

Let  $M$  be the intermediate field  $K \subset M \subset L$  corresponding to the subgroup  $\mathbf{D}G(L/K)$ , thus  $G(M/K) = G(L/K)/\mathbf{D}G(L/K)$ . We just remarked that  $\mathbf{D}G(L/K) \subset G(L/KF)$  or, equivalently, that  $KF \subset M$ , all we need is to show that  $M \subset KF$ .

The extension  $M/E$  is Galois and its Galois group is isomorphic to

$$G(M/E) = (G(L/K) \rtimes_{\varphi} I_{\mathfrak{q}})/\mathbf{D}G(L/K)$$

Since  $I_{\mathfrak{q}}$  acts trivially on  $G(M/K)$  the group  $G(M/E)$  is *direct product*  $G(M/E) = G(L/K)/\mathbf{D}G(L/K) \times I_{\mathfrak{q}}$ . Denote by  $I'_{\mathfrak{q}}$  the inertia subgroup of  $G(M/E)$  at the prime of  $M$  lying below  $\mathfrak{q}$ . Since  $L/M$  is unramified at  $\mathfrak{q}$ ,

we see that  $I_{\mathfrak{q}}'$  is isomorphic to  $I_{\mathfrak{q}}$  under the restriction map  $G(L/E) \rightarrow G(M/E)$ , hence

$$G(M/E) = G(L/K)/\mathbf{D}G(L/K) \times I_{\mathfrak{q}}'$$

It follows that  $M$  is the compositum of  $K = M^{G(M/K)}$  and  $M^{I_{\mathfrak{q}}'}$ , moreover  $M^{I_{\mathfrak{q}}'}/E$  is unramified at every place above  $\mathfrak{p}$ , by the normality of  $I_{\mathfrak{q}}'$  in  $G(M/E)$ . Thus  $M^{I_{\mathfrak{q}}'} \subset F$  and  $M \subset KF$  and the lemma follows.  $\square$

We end the section with a basic corollary which is important for our purposes. We apply lemma 3 to the special (and most interesting) case where

- i)  $K/E$  is *unramified* outside the place  $\mathfrak{p}$  of  $E$ ;
- ii)  $L = H_K$  the Hilbert Class Field of  $K$ .

**Corollary 4.** *Let  $K/E$  be an extension of number fields unramified outside a finite place  $\mathfrak{p}$  of  $E$  and totally ramified at  $\mathfrak{p}$ . Then the norm map  $N_{K/E}: \text{Cl}_K \rightarrow \text{Cl}_E$  induces an isomorphism*

$$(\text{Cl}_K)_{G(K/E)} \simeq \text{Cl}_E$$

*Proof.* Assume that the extension  $K/E$  is non-trivial, otherwise there is nothing to prove. From the maximality of the Hilbert Class Field it follows easily that  $H_E \subset H_K$ . By the properties of the Artin symbol the natural map  $r: G(H_K/K) \rightarrow G(H_E/E)$  corresponds to the norm map  $N_{K/E}: \text{Cl}_K \rightarrow \text{Cl}_E$ , once we interpret the class group of  $E$  (resp.  $K$ ) as the Galois group  $G(H_E/E)$  (resp.  $G(H_K/K)$ ).

The Galois group  $G(H_K/E)$  is isomorphic to  $G(H_K/K) \rtimes_{\varphi} G(K/E) = \text{Cl}_K \rtimes_{\varphi} G(K/E)$ , where the semidirect product structure  $\varphi$  is described by the action of  $G(K/E)$  induced from the actions on fractional ideals of  $K$  (cf. remark 2).

Notice now that the only place of  $E$ , finite or infinite, that ramifies in  $H_K$  is  $\mathfrak{p}$ . Therefore the maximal extension of  $E$  inside  $L$  which everywhere unramified over  $E$  coincides with the maximal extension of  $E$  inside  $L$  unramified at every place above  $\mathfrak{p}$ , we denote it by  $E^{ur}$ . Moreover from the fact that  $H_E \subset H_K$  we have  $H_E \subset E^{ur}$ . We see shortly that equality holds.

Applying lemma 3 of the section to  $E \subset K \subset H_K$  we get that the natural

restriction map  $r$  induces an isomorphism

$$G(H_K/K)_{G(K/E)} \simeq G(E^{ur}/E)$$

viewing  $G(H_K/K)$  as a module over  $G(K/E)$ . In particular  $E^{ur}/E$  is abelian and  $E^{ur} = H_E$ . Reformulating, we conclude that the norm map  $N_{K/E}$  induces an isomorphism

$$(\text{Cl}_K)_{G(K/E)} \simeq \text{Cl}_E$$

and the corollary follows.  $\square$

*Remark 4.* Denote by  $S_r$  the set of real places of  $E$ . The extension  $K/E$  considered in corollary 4 is unramified at every place in  $S_r$ . If we drop such assumption, so that  $K/E$  is unramified outside  $S_r \cup \{\mathfrak{p}\}$ , the statement of the corollary remains valid if we replace the class groups  $\text{Cl}_K$  and  $\text{Cl}_E$  by the narrow class groups  $\text{Cl}_K^+$  and  $\text{Cl}_E^+$  as one can see without difficulties.

## 2. THE MAIN THEOREMS

Let  $G$  a finite group and  $A$  a finite  $G$  module. By this we mean that  $A$  is a finite abelian group with an action of  $G$  by automorphisms. Denote the Pontrjagin dual of  $A$  by  $\hat{A}$ , it inherits a natural structure of  $G$ -module via the formula

$$g \cdot \chi(a) = \chi(g^{-1} \cdot a)$$

for  $\chi \in \hat{A}$ ,  $g \in G$  and  $a \in A$ . For a finite set  $X$  we denote its cardinality by  $|X|$ .

**Lemma 5.** *If  $p$  is a prime number and  $G$  is a  $p$ -group then  $|A_G| \equiv |A| \pmod{p}$ .*

*Proof.* If a finite  $p$ -group  $G$  acts on a finite set  $X$  denote the fixed subset by  $X^G$ . Then  $|X^G| = |X| \pmod{p}$ , indeed  $X - X^G$  is union of non trivial  $G$ -orbits. Applying this to  $\hat{A}$  we obtain  $|(\hat{A})^G| \equiv |\hat{A}| \pmod{p}$ . Now since  $\widehat{A_G} \simeq \hat{A}^G$  and any finite  $G$ -module has the same cardinality as its dual we have  $|A_G| \equiv |A| \pmod{p}$ .  $\square$

**Lemma 6.** *Assume that, for a  $p$ -group  $G$ , a finite  $G$ -module  $A$  decomposes as direct product  $A_1 \times \cdots \times A_n$  of invariant  $G$ -submodules.*

*Then  $A_G \simeq (A_1)_G \times \cdots \times (A_n)_G$ .*

*Proof.* This easily follows, for example, from the description of  $\mathbf{D}A$  in terms of its generators. They are of the form  $g.a - a$ , with  $g \in G$  and  $a \in A$  and writing  $a$  as  $(a_1, \dots, a_n)$  with  $a_i \in A_i$  we see that  $g.a - a = (g.a_1 - a_1, \dots, g.a_n - a_n)$ , thus  $\mathbf{D}A = \mathbf{D}A_1 \times \dots \times \mathbf{D}A_n$ .  $\square$

We are now ready to prove the main theorem of the paper.

**Theorem 7.** *Let  $p$  be a prime number and  $K/E$  be a finite Galois extension of number fields whose Galois group  $G(K/E)$  is a  $p$ -group. Assume that  $K/E$  is unramified outside a finite place  $\mathfrak{p}$  of  $E$  and totally ramified at  $\mathfrak{p}$ . Then for any prime number  $l$*

$$h_K^{(l)} \equiv h_E^{(l)} \pmod{p}$$

*In particular*

$$h_K \equiv h_E \pmod{p}$$

*Proof.* Set  $G = G(K/E)$ , corollary 6 of section 1 says that

$$(\mathrm{Cl}_K)_G \simeq \mathrm{Cl}_E$$

The product of the  $l$ -Sylow subgroups of  $\mathrm{Cl}_K$  gives a decomposition of  $\mathrm{Cl}_K$  into  $G$ -submodules:

$$\mathrm{Cl}_K \simeq \prod_l \mathrm{Cl}_K^{(l)}$$

From lemma 6 above we have that

$$\mathrm{Cl}_E = \prod_l (\mathrm{Cl}_K^{(l)})_G$$

This gives the decomposition of  $\mathrm{Cl}_E$  into its  $l$ -Sylow subgroups, so that  $\mathrm{Cl}_E^{(l)} = (\mathrm{Cl}_K^{(l)})_G$ . Applying now lemma 5 we obtain  $|\mathrm{Cl}_E^{(l)}| \equiv |\mathrm{Cl}_K^{(l)}| \pmod{p}$ , and the theorem is proved.  $\square$

In the special case when  $G(K/E)$  is cyclic we describe stronger congruences. If  $|G(K/E)| = p^n$ , denote the subfield of  $K$  of degree  $p^i$  over  $E$  by  $K_i$ , for  $0 \leq i \leq n$ .

**Theorem 8.** *Assume moreover that  $G(K/E)$  is cyclic of order  $p^n$  then for any prime  $l$*

$$h_{K_i}^{(l)} \equiv h_{K_{i-1}}^{(l)} \pmod{p^i}$$

*In particular*

$$h_{K_i} \equiv h_{K_{i-1}} \pmod{p^i}$$

*Proof.* For an integer  $i$ ,  $0 \leq i \leq n$ , set  $G_{n-i} = G(K_n/K_i)$ , it is the subgroup of  $G(K_n/K_0)$  which fixes  $K_i$ , set also  $G = G_n = G(K/K_0)$ . If  $l$  is any prime number, we have  $\text{Cl}_{K_i}^{(l)} = (\text{Cl}_{K_n}^{(l)})_{G_{n-i}}$ , as remarked in the proof of theorem 7. The dual statement is

$$\widehat{\text{Cl}}_{K_i}^{(l)} = \widehat{\text{Cl}}_{K_n}^{(l)G_{n-i}}$$

Since  $G$  is cyclic of order  $p^n$  we have

$$G_{n-i} = G^{p^i}$$

where  $G^{p^i}$  is the subgroup of  $p^i$ -th powers of  $G$ . Making the previous equality explicit we obtain

$$\widehat{\text{Cl}}_{K_i}^{(l)} = \widehat{\text{Cl}}_{K_n}^{(l)G_{n-i}} = \{\chi \in \widehat{\text{Cl}}_{K_n}^{(l)} \mid \chi^s = \chi, \text{ for all } s \in G_{n-i}\}$$

Fix now a generator  $\sigma \in G$ , then

$$\widehat{\text{Cl}}_{K_i}^{(l)} = \widehat{\text{Cl}}_{K_n}^{(l)G_{n-i}} = \{\chi \in \widehat{\text{Cl}}_{K_n}^{(l)} \mid \chi^{\sigma^{p^i}} = \chi\}$$

This implies that  $\widehat{\text{Cl}}_{K_i}^{(l)}$  is given by the disjoint union of the  $G$ -orbits of  $\widehat{\text{Cl}}_{K_n}^{(l)}$  whose length divides  $p^i$ . Setting

$$h_i^{(l)} = |\{G\text{-orbits in } \widehat{\text{Cl}}_{K_n}^{(l)} \text{ of length } p^i\}|$$

we see that

$$h_{K_0}^{(l)} = h_0^{(l)},$$

$$h_{K_1}^{(l)} = h_0^{(l)} + h_1^{(l)} p,$$

$$h_{K_2}^{(l)} = h_0^{(l)} + h_1^{(l)} p + h_2^{(l)} p^2,$$

...

$$h_{K_n}^{(l)} = h_0^{(l)} + h_1^{(l)} p + h_2^{(l)} p^2 + \dots + h_n^{(l)} p^n,$$

and

$$h_{K_i}^{(l)} = h_{K_{i-1}}^{(l)} + h_i^{(l)} p^i.$$

This completes the proof.  $\square$

*Remark 5.* Let  $l$  a prime number, denote by  $H_K^{(l)}$  the maximal unramified abelian  $l$ -extension of  $K$ . We have that  $H_K^{(l)} \subset H_K$  and  $G(H_K/H_K^{(l)}) \simeq \text{Cl}_K^{(l)}$ . The integers  $h_i^{(l)}$  just defined are related to the complex representation theory of  $G(H_K^{(l)}/E)$ . Using the method of Mackey and Wigner for small groups (cf. [3], §8.2), one can show that every irreducible representation of

$G(H_K^{(l)}/E)$  has degree  $p^i$ , with  $0 \leq i \leq n$ , and the number of non-isomorphic,  $p^i$ -th dimensional irreducible representations is

$$p^{n-i} h_i^{(l)} = p^{n-2i} (h_{K_i}^{(l)} - h_{K_{i-1}}^{(l)})$$

where we set  $h_{K_{-1}}^{(l)} = 0$ .

### 3. APPLICATIONS

We conclude the paper with few applications. We first apply theorem 8 to the extension  $\mathbf{Q}(\mu_{p^{m+1}})/\mathbf{Q}(\mu_p)$ , where  $p$  is an odd prime and  $m \geq 1$  is an integer. We generalize the known fact (cf. [1]) that for an odd prime  $p$

$$p \text{ is regular} \Leftrightarrow p \text{ doesn't divide } h_{\mathbf{Q}(\mu_{p^{m+1}})} \text{ for } m \geq 1$$

**Proposition 9.** *Let  $p$  be an odd prime and  $l$  be any prime. Then for any  $m \geq 1$*

$$h_{\mathbf{Q}(\mu_{p^{m+1}})}^{(l)} \equiv h_{\mathbf{Q}(\mu_{p^m})}^{(l)} \pmod{p^m}$$

*Proof.* Apply Theorem 8 to the extension  $\mathbf{Q}(\mu_{p^{m+1}})/\mathbf{Q}(\mu_p)$  which is known to be a cyclic  $p$ -extension unramified outside the unique prime of  $\mathbf{Q}(\mu_p)$  above the rational prime  $p$ .  $\square$

In the next two applications we consider a Galois extension  $K/E$  unramified outside a finite place  $\mathfrak{p}$  of  $E$  and totally ramified at  $\mathfrak{p}$ . We do not require  $G(K/E)$  to be a  $p$ -group.

The first fact concerns the 2-part of  $Cl_K$  under the assumption that  $h_E$  is odd.

**Proposition 10.** *Assume that  $h_E$  is odd. Then there are no everywhere unramified  $\mathbf{Z}/2\mathbf{Z}$  extensions of  $K$  which are Galois over  $E$ . In particular, if  $h_K$  is even then  $Cl_K^{(2)}$  is not cyclic.*

*Proof.* If  $h_K$  is odd, there's nothing to prove. Assume then that  $h_K$  even and let  $L/K$  be an unramified  $\mathbf{Z}/2\mathbf{Z}$  extension which is Galois over  $E$ . Then  $G(K/E)$  acts on  $G(L/K) = \mathbf{Z}/2\mathbf{Z}$  and the action is forced to be trivial so that  $G(L/K)_{G(K/E)} = G(L/K)$ . Applying now lemma 3 we see that  $E$  has a  $\mathbf{Z}/2\mathbf{Z}$ -unramified extension  $F$  inside  $K$ , contrary to the assumption that  $h_E$  is odd. We conclude that there is no such an  $L$ .

Consider the 2-part of  $Cl_K$ . The group  $G(K/E)$  acts on it and, from the first

part of the proposition, it follows that there are no invariant subgroups of index 2. This means that there are at least 2 distinct, isomorphic subgroups of index 2. Therefore, in particular,  $\text{Cl}_K^{(2)}$  cannot be cyclic.  $\square$

Iwasawa gave a proof of the same fact under slightly different assumptions on the extension  $K/E$  (cf. [2], cor. to Theorem 4). His method is different and it is based on lower estimates on the rank of  $\text{Cl}_K^2$  as abelian group.

**Proposition 11.** *If  $G(K/E) = G$  is cyclic, then  $|\text{Cl}_E| = |\text{Cl}_K^G|$ .*

*Proof.* This follows from the fact that, when  $G$  is cyclic, the Herbrand quotient of any finite  $G$ -module  $A$  is 1 (cf. [4], Chap. VIII, §5 Prop. 8). Indeed, for  $A = \text{Cl}_K$  this implies that  $|A_G| = |A_G|$ , showing the required statement.  $\square$

We can give a direct proof of the proposition as corollary of the following lemma.

**Lemma 12.** *Let  $G$  be a cyclic group and  $A$  a finite  $G$ -module. Denote with  $\hat{A}$  the dual module of  $A$ . Then there is a  $G$ -equivariant set-bijection between  $A$  and  $\hat{A}$ . In particular  $|\hat{A}^G| = |A^G|$ .*

*Proof.* If  $M$  is any finite  $G$  module and  $d$  is a divisor of  $|G|$ , denote with  $l_M(d)$  the number of  $G$ -orbits of length  $d$  in  $M$ . If  $g \in G$ , set  $\chi_M(g) = |M^g|$ , the cardinality of the submodule fixed by  $g$ .

Since  $G$  is cyclic, the lemma is equivalent to showing that for any divisor  $d$  of  $|G|$  we have  $l_A(d) = l_{\hat{A}}(d)$ . In fact for any such  $d$  there exists, up to isomorphism, only *one* transitive  $G$ -action on a set of cardinality  $d$ . The knowledge of the function  $l_M$  is equivalent to the knowledge of the character  $\chi_M$ . To see this, fix a generator  $\sigma$  of  $G$ . Then if  $d$  divides  $|G|$  we have:

$$l_M(d) = \frac{1}{d} [\chi_M(\sigma^d) - \sum_{d'} \chi_M(\sigma^{d'})]$$

where  $d'$  in the sum ranges through all positive divisors of  $d$  other than 1 and  $d$  itself. On the other hand

$$\chi_M(\sigma^k) = \sum_{d|(k, |G|)} d \cdot l_M(d)$$

The lemma is then reduced to proving that  $\chi_A = \chi_{\hat{A}}$ . Consider  $\mathbf{C}[A]$ , the

free complex vector space on  $A$ . It is a complex linear representation  $\pi_A$  of  $G$  whose character is  $\chi_A$  previously defined. Similarly,  $\pi_{\hat{A}}$  is a representation with character given by  $\chi_{\hat{A}}$  and it is easy to see that  $\pi_{\hat{A}}$  is isomorphic to  $\widehat{\pi_A}$ , the dual representation of  $\pi_A$ . Thus  $\chi_A = \overline{\chi_{\hat{A}}}$  and, since  $\chi_A$  is real valued (even integer valued),  $\chi_A = \chi_{\hat{A}}$  and the lemma follows.  $\square$

*Remark 6.* If we allow the extension  $K/E$  to be *ramified* at some real place of  $E$  then the previous proposition remains valid if we replace  $\text{Cl}_E$  and  $\text{Cl}_K$  by the narrow class groups  $\text{Cl}_E^+$  and  $\text{Cl}_K^+$ .

*Remark 7.* If  $p$  is any odd prime and  $m \geq 1$  is an integer, we have that there are no non-trivial ideal classed of  $\mathbf{Q}(\mu_{p^m})$  invariant under the action of  $(\mathbf{Z}/p^m\mathbf{Z})^*$ . This follows from the previous remark applied to the extension  $\mathbf{Q}(\mu_{p^m})/\mathbf{Q}$ .

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